



PTO/SB/17 (01-06)

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL**For FY 2006****Complete if Known**

Application Number	10/791,386
Filing Date	2004/3/3
First Named Inventor	Minoru Sakai
Examiner Name	Chea, Thorl
Art Unit	1752
Attorney Docket No.	FS-F03230-01

☐ Applicant claims small entity status. See 37 CFR 1.27TOTAL AMOUNT OF PAYMENT (\$)
180.00**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify) : _____☒ Deposit Account Deposit Account Number 501322 Deposit Account Name: TAIYO, NAKAJIMA & KATO

For the above-identified deposit account, the Director is hereby authorized (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.)**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee(\$)	Small Entity Fee(\$)	Fee(\$)	Small Entity Fee(\$)	Fee(\$)	Small Entity Fee(\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Small Entity Fee(\$)	Small Entity Fee(\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

Total Claims	Extra Claims	Fee(\$)	Fee Paid(\$)	Multiple Dependent Claims
_____ - 20 or HP = _____	_____ x _____	_____ = _____	_____	_____

HP=highest number of total claims paid for, if greater than 20.

Indep. Claims	Extra Claims	Fee(\$)	Fee Paid(\$)
_____ - 3 or HP = _____	_____ x _____	_____ = _____	_____

HP=highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52 (e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41 (a)(1)(G) and 37 CFR 1.16 (s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee(\$)	Fee Paid(\$)
_____ - 100 = _____	_____ / 50 = _____	_____ (round up to a whole number) x 250	_____ = _____	_____

4. OTHER FEE (\$)

Description	Fees Paid(\$)
Non-English Specification: \$ 130 fee (no small entity discount)	_____
Other (e.g., late filing surcharge) : _____ IDS Fee	180

SUBMITTED BY

Signature		Registration No. 52,053 (Attorney/Agent)	Telephone 703-838-8013
Name (Print/Type)	Sheldon J. Moss		Date 2007/6/20

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. BOX 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Minoru Sakai

IMAGE FORMING METHOD USING
PHOTOTHERMOGRAPHIC MATERIAL

Serial No. 10/791,386

Filed: March 3, 2004

Group Art Unit: 1752

Examiner: Chea, Thorl

Commissioner for Patents
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT FOR CONSIDERATION
BY THE OFFICE UNDER 37 C.F.R. 1.97-1.99

Enclosed herewith are patents and/or publications for consideration by the Patent and Trademark Office in regard to the invention claimed in the above-described application. In compliance with §1.56, such documents are listed in the enclosed Form PTO-1449.

Applicants request that the Patent and Trademark Office make of record the above-identified documents. Unless otherwise indicated, a full text copy of each document is attached. For documents not in English, an English translation or an equivalent English language patent or publication may be attached. Where a translation is not available, a concise explanation of the relevance of each document not in English is included either here or in the specification.

This Information Disclosure Statement (hereinafter "Statement") is submitted according to the following selected paragraph:

- I. ☐ This Statement is being filed under §1.97 (b) within three months of the filing date of the application (other than a CPA), or before the mailing of a first Office action on the merits or before the mailing of a first Office action after the filing of a request for continued examination.
- II. ☒ This Statement is being filed under §1.97(c), with fee, prior to the mailing date of any of a final action, a notice of allowance or an action that otherwise closes prosecution in the application. Please charge the fee required by §1.17(p) to Taiyo Nakajima & Kato Deposit Order Account Number 501322. A duplicate copy of this Certification is enclosed.

06/22/2007 MAHRED1 00000004 501322 10791386

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III. ☐ This Statement is being filed under §1.97(c), with a certification under, §1.97(e) prior to the mailing date of any of a final action, a notice of allowance or an action that otherwise closes prosecution in the application. The undersigned hereby states that (check one):

☐ each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.

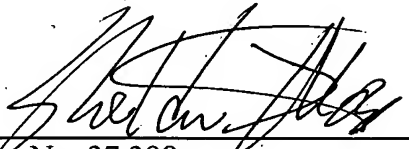
☐ no item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification under §1.97(e) after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this Statement.

IV. ☐ This Statement is being filed under §1.97(d), with fee and certification under §1.97(e), on or after the mailing date of either a final action, a notice of allowance (but prior to payment of the issue fee) or an action that otherwise closes prosecution in the application. Please charge the fee required by §1.17(p) to Taiyo Nakajima & Kato Deposit Order Account Number 501322. A duplicate copy of this Certification is enclosed. The undersigned hereby states that (check one):

☐ each item of information in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.

☐ no item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification under §1.97(e) after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this Statement.

Respectfully submitted,

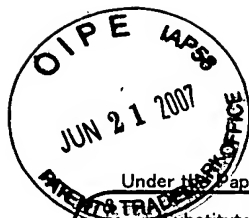


Customer No. 37,398

Sheldon J. Moss, Reg. No. 52,053

c/o Yumi Yerks
401 Holland Lane.
Apt. # 407
Alexandria, Virginia 22314
Telephone: 703-838-8013
Facsimile: 703-838-8014

Date: June 20, 2007



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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/791,386
Filing Date	March 3, 2004
First Named Inventor	Minoru Sakai
Art Unit	1752
Examiner Name	Chea, Thorl
Attorney Docket Number	FS-F03230-01

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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
✓		US- 6,413,711	07-02-2002	Kimura	
		US- 5,677,121	10-14-1997	Tsuzuki	
		US- 5,698,380	12-16-1997	Toya	
		US- 4,395,484	07-26-1983	McCarney	
		US- 4,968,599	11-06-1990	Pitt et al.	
		US- 6,074,814	06-13-2000	Deroover et al.	
		US- 6,514,678	02-04-2003	Burgmaier et al.	
		US- 6,143,488	11-07-2000	Uytterhoeven et al.	
		US- 6,171,767	01-09-2001	Kong et al.	
		US- 6,288,370	09-11-2001	Ogawa et al.	
		US- 6,376,166	04-23-2002	Oya et al.	
		US- 6,420,102	07-16-2002	Bauer et al.	
		US- 6,524,781	02-25-2003	Wada	
		US- 6,649,338	11-18-2003	Mitsubishi	
		US- 6,800,427	10-05-2004	Yamane	
		US- 6,814,506	11-09-2004	Nanami	
		US- 5,041,369	08-20-1991	Fukui, et al.	
		US- 5,958,668	09-28-1999	Matsumoto, et al.	
		US- 5,817,447	10-06-1998	Yip	
		US- 7,179,585	02-20-2007	Okutsu et al.	
		US- 4,332,889	06-01-1982	Siga, et al.	
		US- 5,952,167	09-14-1999	Okada, et al.	
		US- 6,524,784	02-25-2003	Hirabayashi	
		US- 6,472,131	10-29-2002	Whitcomb	
		US- 4,173,483	11-06-1979	Habu, et al.	

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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Sheet

1

of

1

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		Number-Kind Code ² (if known)			
		US- 4,223,082	09-16-1980	Rosenfeld	
		US- 6,165,705	12-26-2000	Dankosh et al.	
		US- 6,146,822	11-14-2000	Asanuma, et al.	
		US- 7,060,423	06-13-2006	Yamane, et al.	
		US- 6,770,430	08-03-2004	Kubo	
		US- 2003-0207216	11-06-2003	Fukui, et al.	
		US- 2003-0215756	01-20-2003	Morita et al.	
		US- 2004-0005521	01-08-2004	Kudo	
		US- 2003-0232288	12-18-2003	Oka, et al.	
		US- 6,696,237	02-24-2004	Yoshioka et al.	
		US- 4,562,143	12-31-1985	Hirabayashi et al.	
		US- 5,288,603	02-22-1994	Maskasky et al.	
		US- 6,309,814	10-30-2001	Ito	
		US- 6,472,138	10-29-2002	Yamane	
		US- 6,300,044	10-09-2001	Uytterhoeven et al.	
		US- 6,607,872	08-19-2003	Uytterhoeven et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		JP 08-297345	11-12-1996	Arai		AB
		JP 02-096131	04-06-1990	Ogawa, et al.		AB
		JP 2000-305213	11-02-2000	Totani		AB
		JP 2000-206653	07-28-2000	Konica Corp		AB

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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